

FORM PTO-1390  
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

P/3240-92

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/501293

INTERNATIONAL APPLICATION NO.

PCT/EP02/14468

INTERNATIONAL FILING DATE

18 December 2002

PRIORITY DATE CLAIMED

11 January 2002

TITLE OF INVENTION

CASTING ROLL AND PROCESS FOR PRODUCING A CASTING ROLL

APPLICANT(S) FOR DO/EO/US

Gerald HOHENBICHLER et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - unsigned
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). including amended claims

Items 11 to 20 below concern document(s) or information included:

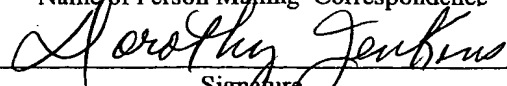
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and 4 references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance
18. ☐ A second copy of the published international application under
19. ☐ A second copy of the English language translation of the interna
20. ☒ Other items or information:  
1 sheet of drawings.  
Prit EFS form. Post card.

## EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label EV342527476US in an envelope addressed to: Mail Stop: Box PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 12, 2004

DOROTHY JENKINS

Name of Person Mailing Correspondence



Signature

July 12, 2004

Date of Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/501293

INTERNATIONAL APPLICATION NO.

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P/3240-92

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO..... \$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
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International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	50 - 20 =	30	x \$18.00	\$ 540.00
Independent claims	3 - 3 =		x \$86.00	\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$290.00

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**TOTAL OF ABOVE CALCULATIONS =**

\$ 1460.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

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**SUBTOTAL =**

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Processing fee of \$130.00 for furnishing the English translation later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

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**TOTAL NATIONAL FEE =**

\$ 1460.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

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a. ☒ A check in the amount of \$ 1460.00 to cover the above fees is enclosed. Check NO. 17438

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c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
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d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
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**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**OSTROLENK, FABER, GERB & SOFFEN, LLP**  
1180 Avenue of the Americas  
New York, NY 10036-8403

Tel: (212) 382 0700

SIGNATURE

Robert C. Faber

NAME

24,322

REGISTRATION NUMBER

P/3240-92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	New York, New York
Gerald HOHENBICHLER et al.	Date: July 12, 2004
Serial No.: Not yet known	Group Art Unit: ---
Filing Date:	Examiner:

For: CASTING ROLL AND PROCESS FOR PRODUCING A CASTING ROLL

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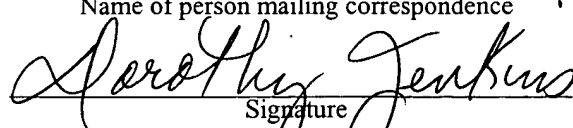
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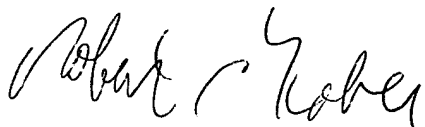
Sir:

Submitted herewith is a copy of the International Search Report, prior art cited in the specification, and prior art cited by a patent searching authority other than the PTO, together with a form listing the same for the convenience of the Examiner.

EXPRESS MAIL CERTIFICATE  
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV 342527476 US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 12, 2004:

Dorothy Jenkins  
Name of person mailing correspondence  
  
Signature  
July 12, 2004  
Date of Signature

Respectfully submitted,

  
Robert C. Faber  
Registration No.: 24,322  
OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403  
Telephone: (212) 382-0700



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"017438" 021000021134726650"

PCT/EP02/14468

 Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_ OFGS File No. **P/3240-92**  
 Title **CASTING ROLL AND PROCESS FOR PRODUCING A CAST**  
 First Inventor **Gerald HOHENBICKLER et al** Date **July 12, 2004**  
 The PTO has received: Last Due Date: **7/11/04**
☒ Patent Application of \_\_\_\_\_  
 Pages (including claims & abstract)  
☒ Declaration of Designation Sheet  
☒ Drawings 1 Sheet(s)/Figs. 1 to 3  
☐ Priority Document  
☐ Small Entity Declaration  
☐ Assignment & Conveyance Cover Sheet  
☒ Information Disclosure Statement  
☒ PTO-1449 + 4 refs.  
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☒ English translations.  
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